



GAU 1752

Practitioner's Docket No. 50353

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re application of: Pandya et al.

Serial No.: 09/228,694 Group No.: Not Yet Assigned
Filed: January 12, 1999 Examiner: Not Yet Assigned
For: HYDROXYPHENYL COPOLYMERS AND PHOTORESISTS COMPRISING SAME

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

JUN 08 1999

AMENDMENT, PETITION AND FEE TO CORRECT AND/OR
ADD TO ORIGINALLY NAMED INVENTOR(S)
(37 C.F.R. § 1.48(a) AND/OR (c))

GROUP 1700

NOTE: 37 C.F.R. § 1.48(f)(1) states: "If the correct inventor or inventors are not named on filing a nonprovisional application under § 1.53(b) without an executed oath or declaration under § 1.63, the later submission of an executed oath or declaration under § 1.63 during the pendency of the application will act to correct the earlier identification of inventorship.

"(2) If the correct inventor or inventors are not named on filing a provisional application without a cover sheet under § 1.51(c)(1), the later submission of a cover sheet under § 1.51(c)(1) during the pendency of the application will act to correct the earlier identification of inventorship."

1. This amendment and petition is to:

(check each applicable item)

☒ correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a)

and/or

☐ add inventor(s) for subject matter disclosed in the application, but previously unclaimed under 37 C.F.R. § 1.48(c).

2. Addition and/or Deletion of Inventor(s)

(check and complete all applicable items)

☒ Add the following previously unnamed person(s) as inventor(s) of this application:

Hiroshi ITO

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 6/2/99

Signature

Deanna M. Landry

(type or print name of person certifying)

(Amendment, Petition and Fee to Correct and/or Add to Originally Named Inventor(s) (37 C.F.R. § 1.48(a) and/or (c) [9-22]—page 1 of 2)

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☐ Delete the following previously incorrectly named inventor(s) _____

3. Attachments

Attached is

(a) A statement from:

(check items below that apply)

- ☒ each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).
☐ each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).
☐ each person being added as an inventor that the amendment is necessitated by amendment of the claims and that the inventorship error occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(c)(1).
(b) a declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63.
(c) written assent of the assignee *(if applicable)*
(d)

(check the following item, if all the inventor(s) remaining after this petition and amendment is accepted are not the inventor(s) of the subject matter of all the claim(s) now being claimed.)

- ☐ Attached is an explanation of the facts, including the ownership of all the claim(s) being claimed in this application, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).

4. Fee Payment (37 C.F.R. § 1.17(i))

The fee required is paid as follows:

- ☒ Enclosed is a check for \$130.00.
☒ Charge Account 04-1105 for any fee deficiency.
☐ Charge Account _____ the sum of \$130.00.

Reg. No.: **33,860**

Tel. No.: (**508**) **485-7772**

Customer No.:



SIGNATURE OF PRACTITIONER

Peter F. Corless

(type or print name of practitioner)

P.O. Box 556

P.O. Address

Marlborough, MA 01752

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Pandya et al.

Serial No.: 09/228,694

Filed: January 12, 1999

For: HYDROXYPHENYL COPOLYMERS AND PHOTORESISTS
COMPRISING SAME

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:



Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

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STATEMENT

I, Hiroshi Ito, declare as follows:

1. I have reviewed the above-identified application, including the claims thereof.
2. I was not originally named as an inventor of the above-identified application. It

has now been determined that I am an inventor of the application. To my knowledge, that error in inventorship occurred without deceptive intent on my part or by anyone else.

May 3, 1999
Date

Hiroshi Ito
Hiroshi Ito